

FEB 27 2006

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5\*

Application Number

10/772,088

Filing Date

February 3, 2004

First Named Inventor

Minano

Art Unit

2875

Examiner Name

Choi

Attorney Docket Number

3084.021

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks

\*exclusive of cited reference



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):Form PTO/SB/08b; and  
Statement Under 37 CFR 1.97(e)**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

SINSHEIMER, SCHIEBELHUT &amp; BAGGETT

Signature

Printed name

JULIE A. HOPPER

Date

2/27/06

Reg. No.

50,869

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

JULIE A. HOPPER

Date

2/27/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Minano	Examiner: Choi	RECEIVED CENTRAL FAX CENTER
Serial No.: 10/772,088	Group Art Unit: 2875	FEB 27 2006
Filing Date: February 3, 2004	Confirmation No. 2569	
Title: Etendue-squeezing Illumination Optics		
Attorney Docket No.: 3084.021		

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

SINSHEIMER, SCHIEBELHUT &  
BAGGETT

I hereby certify that this Correspondence is being deposited with the United States Postal service with sufficient postage for first class mail in an envelope address to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.

Date of Deposit: 2/27/06

Typed Name: Julie A. Hopper

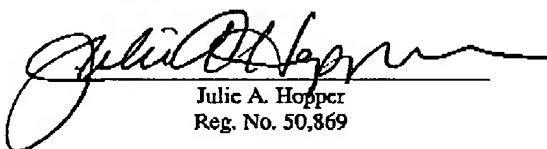
Signature: Julie A. Hopper

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By: Julie A. HopperJulie A. Hopper  
Agent for Applicant(s)  
Reg. No.: 50869Date: 2/27/06

Telephone No.: 858-587-7649

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Minano	<p>I hereby certify that this Correspondence is being deposited with the United States Postal service with sufficient postage for first class mail in an envelope address to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.</p> <p>Date: <u>2/27/06</u></p> <p> Julie A. Hopper Reg. No. 50,869</p>
Appl. No.: 10/772,088	
Filed: February 3, 2004	
Title: Etendue-squeezing Illumination Optics	
Group Art Unit: 2875	
Examiner: Choi	
Attorney Docket No.: 3084.021	
Confirmation No.: 2569	

INFORMATION DISCLOSURE STATEMENT

## MAIL STOP AMENDMENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted:

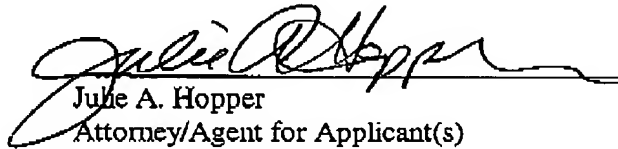
- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
  - ☒ Statement under 37 CFR 1.97(e), or
  - ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
  - ☐ Statement under 37 CFR 1.97(e), and
  - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO/SB/08B Information Disclosure Statement by Applicant, together with copies of patents or patent applications, publications, or other information of which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

SINSHEIMER, SCHIEBELHUT &  
BAGGETT

  
Julie A. Hopper  
Attorney/Agent for Applicant(s)  
Reg. No. 50,869

February 27, 2006

Telephone No.: 858-587-7655

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